

University College London
Lancet Countdown
Institute for Global Health
Gower Street,
London,
WC1E 6BT

If your application is successful, you will need to agree to the following terms and conditions.

THE PROJECT

You agree to carry out the Project, and to do so diligently, with all reasonable care, and within the Project Duration. No change to the Project may be made without both Your and Our prior agreement. You must ensure the Project is supported by adequate and appropriate resources and facilities.

You must ensure the Project is carried out in accordance with all relevant legislation, ethical guidelines and principles, and codes of practice that apply, including those relating to health and safety, data protection, modern slavery, safeguarding, bribery, tax evasion, financial sanctions, and research ethics and integrity.

Where any licences and approvals are required for any activities in the Project, You must obtain these and keep them in place prior to undertaking those activities.

MANAGEMENT OF THE AWARD

The Award is provided to You solely for the purposes of enabling You to carry out the Project. You must ensure the Award is only used for the Project in accordance with the budget headings in Schedule 2 and must keep financial records (which You will provide to Us upon request) of expenditure of the Award.

The Award has been granted to you on the basis of the pounds Sterling amount, which is the currency that We hold all funding in. You should, wherever possible, invoice and report to us in pounds Sterling and We shall usually pay you in pounds Sterling. By exception, and upon request (which We have the discretion to accept or refuse) we may pay you in another currency, but this shall be subject to a cap based on pounds Sterling. We shall not ordinarily pay adjustments to take account of currency fluctuation but will consider requests for adjustments where reasonable, and provided We have sufficient contingency funds allocated to currency fluctuations.

The Award is inclusive of any and all taxes (if applicable); no amounts may be charged in addition to the Award.

You acknowledge that the Project Duration may not be extended.

You will provide all reasonable assistance to Us in connection with Our responsibilities under the Wellcome terms of funding including but limited to, supplying relevant documentation, making personnel available and supplying updates. This assistance must be provided promptly upon Our request, and this obligation will continue after the termination of this Sub-Award Agreement.

RESEARCH INTEGRITY

Any work on the Project that involves the use of human participants must:

- Protect the rights, interests and safety of participants
- Comply with all relevant legislation, including data protection and the duty of confidentiality
- Have all necessary approvals and consent in place during the research

Research misconduct is defined as behaviour or actions that fall short of the standards of ethics, research and scholarship required to ensure that the integrity of research is upheld. This includes fabrication, falsification, plagiarism or deception in performing or reviewing research, and in reporting research outputs.

You must have policies in place to prevent and address research misconduct that clearly state the standards of behaviour You expect from staff, and Your procedure for handling allegations, including a clear place to submit allegations to. If you do not have policies to these standards in place then You must notify Us and must comply with the equivalent policies We have in place.

You must notify Us (and we shall notify Wellcome) when a formal investigation into research misconduct has resulted in upheld allegations against an individual in receipt of Wellcome funding. You must inform Us of: the findings of the investigation, any practical improvements that have been made to policies or processes as a result of the investigation, and any sanctions that are being imposed.

You must comply fully with the requirements of all relevant regulatory authorities for the storage, use, and transfer of potentially harmful materials, including pathogenic organisms. This includes conducting appropriate risk assessments and addressing any dual-use concerns.

REPORTING

You must tell Us at once if you anticipate a significant change to the scope or management of the Project. You must also tell Us at once if there are, or you have reason to believe there will be, any factors that may adversely affect the Project or Your compliance with the terms of this Sub-Award Agreement. As examples only, this would include where you have reason to believe the Project may not be completed within the Project Period, any suspicion of, or actual fraud or financial impropriety, any suspension of duty, allegations of research misconduct, bullying, harassment, abuse or harm levied at You or Your employees, students, or agents, and any adverse effect involving human participants.

You will be required to attend three review meetings per year.

You must provide reports on the progress of the Project, on templates that We will provide to You, at the mid-point of the Project (the “**Interim Report**”) and upon completion of the Project Period (the “**Final Report**”).

AUDIT AND FINANCIAL ADMINISTRATION

You must account for all income and expenditure related to the Project through a separate cost centre.

You are responsible for any expenditure You incur in carrying out the Project that exceeds the Award and any expenditure incurred on the Project outside of the Project Duration.

You must keep all invoices, receipts, accounts and other relevant documents relating to the Project in accordance with your data retention policy, and at a minimum for ten years after the Project Duration and provide these to Us if we ask You for them. You must give Us all reasonable assistance to comply with Our legal requirements relating to accounts, audit or examination of accounts, annual reports and annual returns.

You must repay to us any part of the Award that has not been spent when the Project has been completed, and/or any part of the Award used in breach of this Sub-Award Agreement.

You must allow Us or Wellcome to audit your Project activities (including but limited to your accounts, systems and facilities), at our expense and on reasonable notice, to ensure that they are compliant with Wellcome's obligations.

PUBLICATION AND PUBLICITY

Authorship of papers arising from the Project shall be determined in accordance with the *Lancet* Countdown – Authorship Criteria, as amended from time-to-time. The version in force at the date of this Sub-Award Agreement is attached at Schedule 3. You must abide by the policies contained in Schedule 3.

You must not use the name or any trademark or logo of UCL or Wellcome Trust or the name of any of their staff or students in any press release or product advertising, or for any other commercial purpose, without the prior written consent of UCL or Wellcome Trust.

You must ensure that all publications and other forms of media communication (including media appearances, press releases and conferences) arising in the course of the Project, will acknowledge the support received from the Wellcome Trust using the following acknowledgement wording:

This project is funded from a sub-award from The Lancet Countdown: Tracking Progress on Health and Climate Change which is supported by a grant from the Wellcome Trust 304972/Z/23/Z

The data underpinning original research publications arising in the course of the Project must be made available to other researchers at the time of publication, as well as any original software that is required to view datasets or to replicate analyses.

You are required to contact Us immediately regarding anything related to the grant which could adversely impact any relevant party's reputation.

You must consult Us at least 21 working days before the release of any press statement about the Project.

INTELLECTUAL PROPERTY AND RESULTS

We make no ownership claims over intellectual property in results that are generated in the Project by You or others on Your behalf (the “**Results**”).

You hereby grant to each of Us and Wellcome an irrevocable, non-transferable, sub-licensable, royalty-free right to use all Results.

Within twelve (12) months from the end of the Project Duration, You must make Results available to the public under an open licence.

For copyright in preprints and research articles, the open licence must be a Creative Commons CC-BY¹ licence.

¹ <https://creativecommons.org/licenses/by/4.0/>

For all other Results, You may use either a CC-BY, CC BY-NC-SA² or a CC BY-NC³ licence.

LIABILITY

We are providing the Award but have no role in delivering the Project. As such, You will accept full responsibility for carrying out the Project and acknowledge that neither We, nor Wellcome, have any responsibility for tax, financial, or any other liability incurred by You.

VARIATION AND TERMINATION

We reserve the right to terminate the Award at any point without cause, by providing notice to you.

We reserve the right to make reasonable amendments to this Sub-Award Agreement at any point. Wellcome reserve the right to amend or update their policies from time-to-time; these changes will be published on the Wellcome website and will apply to this Award automatically.

GENERAL

You may not subcontract Your obligations under this Sub-Award Agreement (which includes engaging collaborators or consultants) without prior written permission from Us. Where We grant you permission to subcontract You must ensure those subcontractors are legally bound by a contract with terms that are the same as those in these terms and conditions. You remain responsible to Us for the conduct of any subcontractors you engage.

These terms and conditions do not create any partnership or joint venture between You and Us. Neither of us have the right to act on behalf of the other. You and Us are the parties to these terms and conditions, which does not provide any right to any third party. Variations to these terms and conditions must be in writing and signed by authorised signatories of each of You and Us to be effective.

If any dispute arises out of these terms and conditions, the parties will first attempt to resolve the matter informally through designated senior representatives of each Party to the dispute, who are not otherwise involved with the Project. If the Parties are not able to resolve the dispute informally within a reasonable time not exceeding two (2) months from the date the informal process is requested by notice in writing they will attempt to settle it by mediation in accordance with the Centre for Effective Dispute Resolution (CEDR) Model Mediation Procedure.

Those terms and conditions shall be governed by English Law and the English Courts shall have exclusive jurisdiction to deal with any dispute which may arise out of or in connection with those terms and conditions.

² <https://creativecommons.org/licenses/by-nc-sa/4.0/>

³ <https://creativecommons.org/licenses/by-nc/4.0/>

SCHEDULE 1 – AWARDEE APPLICATION

SCHEDULE 2

BREAKDOWN OF COSTS TO AWARDEE

Wellcome Trust Grant Ref: 304972/Z/23/Z

The Indicator Development Fund Awards

LANCET COUNTDOWN

Awardee has been allocated a budget of £[]:

Budget Category	Sub-Awardee
Staff Costs	[]
Adjustment Support	[]
Materials & Consumables	[]
Equipment	[]
Travel and Subsistence	[]
Fieldwork Expenses	[]
Public engagement and patient involvement	[]
Other costs	[]
Award Total	[]

You may invoice Us for 50% of the Award Total upon signature of these terms and conditions.

You may then invoice Us for 25% of the Award Total once you have submitted the Interim Report and We have confirmed to you that it is satisfactory.

You may invoice for the final 25% of the Award Total once you have completed the Project, submitted the Final Report, and We have confirmed to you that it is satisfactory.

Any payments made in advance but not spent on the Project at the termination date shall be repaid to UCL within two (2) months of the termination of the Project.

The statements should be sent to:

University College London
The *Lancet* Countdown
Finance and Research Manager
UCL Institute for Global Health
30 Guilford Street
London
WC1N 1EH. Email: sam.julier@ucl.ac.uk and info@lancetcountdown.org

SCHEDULE 3: AWARD LETTER AND POLICIES

Lancet Countdown – Award Letter



Award

Letter(710813074.1).p

Lancet Countdown – Authorship Criteria



Lancet Countdown -
Authorship Criteria.pc

Wellcome Trust and UCL Policies

Bullying, harassment, abuse and harm policy: <https://wellcome.org/grant-funding/guidance/policies-grant-conditions/bullying-and-harassment-policy>

UCL's Prevention of Bullying, Harassment and Sexual Misconduct Policy: <https://www.ucl.ac.uk/human-resources/prevention-bullying-harassment-and-sexual-misconduct-policy>

Equity, diversity and inclusion policy: <https://wellcome.org/grant-funding/guidance/policies-grant-conditions/equity-diversity-inclusion-policy>

UCL Equal Opportunity Policy Statement: <https://www.ucl.ac.uk/human-resources/policies/2024/aug/equal-opportunities>

How to acknowledge Wellcome funding in research outputs: [How to acknowledge Wellcome funding in research outputs](#)

Open Access Policy: [Open Access Policy - Grant Funding | Wellcome](#)

Logo usage: [Logo Usage - Grant Funding | Wellcome](#)